

**FEE TRANSMITTAL SHEET  
(FOR FY 2002)**



METHOD OF PAYMENT (Check One)

1.  The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2.  Check Enclosed

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
Subtotal (1)		<b>\$ 0</b>		

**2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total	21	20	= 1 x \$ 18	= <b>\$ 18</b>
Ind.	1	3	= 0 x \$ 84	= <b>\$ 0</b>
Multiple Dependent Claims			x \$	= \$
Subtotal (2)		<b>\$ 18</b>		

**Large Entity**      **Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

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Complete If Known																																																																																																																																																																																																								
Application No.		09/910,661																																																																																																																																																																																																						
Filing Date		July 20, 2001																																																																																																																																																																																																						
First Named Inventor		Vishnu K. Agarwal																																																																																																																																																																																																						
Group Art Unit		1763																																																																																																																																																																																																						
Examiner		George Goudreau																																																																																																																																																																																																						
Atty. Docket Number		500431.04																																																																																																																																																																																																						
FEE CALCULATION (Continued)																																																																																																																																																																																																								
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ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="4"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th colspan="2">Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td colspan="2">Surcharge - Late filing fee or oath</td> <td>\$</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td colspan="2">Surcharge - late provisional filing fee or cover sheet</td> <td>\$</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td colspan="2">Non-English specification</td> <td>\$</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td colspan="2">For Filing a Request for Reexamination</td> <td>\$</td> </tr> <tr> <td>195</td> <td>300</td> <td>198</td> <td>300</td> <td colspan="2">Publication (early or Republication)</td> <td>\$</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td colspan="2">Extension for reply within first month</td> <td>\$</td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td colspan="2">Extension for reply within 2<sup>nd</sup> month</td> <td>\$</td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td colspan="2">Extension for reply within 3<sup>rd</sup> month</td> <td>\$</td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td colspan="2">Extension for reply within 4<sup>th</sup> month</td> <td>\$</td> </tr> <tr> <td>128</td> <td>1,960</td> <td>280</td> <td>980</td> <td colspan="2">Extension for reply within 5<sup>th</sup> month</td> <td>\$</td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td colspan="2">Filing a brief in support of an appeal</td> <td>\$</td> </tr> <tr> <td>121</td> <td>280</td> <td>270</td> <td>140</td> <td colspan="2">Request for oral hearing</td> <td>\$</td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td colspan="2">Terminal Disclaimer Fee</td> <td>\$</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td colspan="2">Petition to revive – unavoidable</td> <td>\$</td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241</td> <td>640</td> <td colspan="2">Petition to revive – unintentional</td> <td>\$</td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242</td> <td>640</td> <td colspan="2">Utility/Reissue issue fee (+ advance copies)</td> <td>\$</td> </tr> <tr> <td>143</td> <td>460</td> <td>243</td> <td>230</td> <td colspan="2">Design issue fee (+ advance copies)</td> <td>\$</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td colspan="2">Petitions to the Commissioner</td> <td>\$</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td colspan="2">Petitions related to provisional applications</td> <td>\$</td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td colspan="2">Submission of IDS</td> <td>\$</td> </tr> <tr> <td>581</td> <td>40</td> <td>81</td> <td>40</td> <td colspan="2">Recording each patent assignment per property (times number of properties)</td> <td>\$</td> </tr> <tr> <td>179</td> <td>740</td> <td>279</td> <td>370</td> <td colspan="2">Request for Continued Examination (RCE)</td> <td>\$</td> </tr> <tr> <td align="right" colspan="5">Other fee (specify)</td><td align="right" colspan="2">Subtotal (3)</td></tr> <tr> <td align="right" colspan="5"></td><td align="right" colspan="2">\$ 0</td></tr> <tr> <td align="right" colspan="5"></td><td align="right" colspan="2">Total Amount of Payment:</td></tr> <tr> <td align="right" colspan="5"></td><td align="right" colspan="2"><b>\$ 18</b></td></tr> </tbody> </table>						Large Entity	Small Entity					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee paid	105	130	205	65	Surcharge - Late filing fee or oath		\$	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		\$	139	130	139	130	Non-English specification		\$	147	2,520	147	2,520	For Filing a Request for Reexamination		\$	195	300	198	300	Publication (early or Republication)		\$	115	110	215	55	Extension for reply within first month		\$	116	400	216	200	Extension for reply within 2 <sup>nd</sup> month		\$	117	920	217	460	Extension for reply within 3 <sup>rd</sup> month		\$	118	1,440	218	720	Extension for reply within 4 <sup>th</sup> month		\$	128	1,960	280	980	Extension for reply within 5 <sup>th</sup> month		\$	120	320	220	160	Filing a brief in support of an appeal		\$	121	280	270	140	Request for oral hearing		\$	148	110	248	55	Terminal Disclaimer Fee		\$	140	110	240	55	Petition to revive – unavoidable		\$	141	1,280	241	640	Petition to revive – unintentional		\$	142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)		\$	143	460	243	230	Design issue fee (+ advance copies)		\$	122	130	122	130	Petitions to the Commissioner		\$	123	50	123	50	Petitions related to provisional applications		\$	126	180	126	180	Submission of IDS		\$	581	40	81	40	Recording each patent assignment per property (times number of properties)		\$	179	740	279	370	Request for Continued Examination (RCE)		\$	Other fee (specify)					Subtotal (3)							\$ 0							Total Amount of Payment:							<b>\$ 18</b>	
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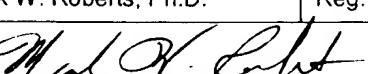
AUG 7 2002

TC 1700

Submitted by:

Name: Mark W. Roberts, Ph.D.      Reg. No.: 46,160

Telephone: (206) 903-8728

Signature: 

Date: July 16, 2002



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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner of Patents, Washington, DC 20231.

Date

July 16, 2002

Stephanie Jansen

Stephanie Jansen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Vishnu K. Agarwal

Attorney Docket No.: 500431.04

Serial No. : 09/910,661

Group Art Unit : 1763

Filed : July 20, 2001

Examiner : George A. Goudreau

Title : METHOD AND APPARATUS FOR ENDPOINTING A CHEMICAL-MECHANICAL  
PLANARIZATION PROCESS

Commissioner of Patents  
Washington, DC 20231

Sir:

Applicant acknowledges receipt of the Office Action dated April 16, 2002.

In the Specification:

In the Claims:

Please amend claim 68 and add new claims 73-88 as follows:

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**TC 1700**

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AUG 30 2002